De-notification letter

DE-NOTIFICATION OF THE ARRANGEMENTS MADE FOR MARKETING UNITS OR SHARES OF AN AIF IN ANOTHER MEMBER STATE IN ACCORDANCE WITH ARTICLE 32a(2) OF DIRECTIVE 2011/61/EU

in Click or tap here to enter text.

(the host Member State(s))

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**PART I**

**Information on the AIFM**

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| --- |
| Information on the AIFM or internally managed AIF |
| Name of the AIFM or internally managed AIF | Click or tap here to enter text. |
| AIFM or internally managed AIF LEI | Click or tap here to enter text. |
| National identification code of the AIFM (where available) | Click or tap here to enter text. |
| AIFM or internally managed AIF’s home Member State | Click or tap here to enter text. |
| Address and, where different from address, registered office/domicile | Click or tap here to enter text. |
| Details of AIFM’s website | Click or tap here to enter text. |
|  |
| **Contact details of the person or department responsible for the notification letter within the AIFM or internally managed AIF** |
| Name of the person or department | Click or tap here to enter text. |
| Telephone number | Click or tap here to enter text. |
| Email address | Click or tap here to enter text. |
|  |
| **Details of the third party (if a third party is designated by the AIFM/internally managed AIF to make the notification)** |
| Name of the entity | Click or tap here to enter text. |
| Address and registered office/domicile, if different from address | Click or tap here to enter text. |
| Name of the person or department to be contacted | Click or tap here to enter text. |
| Telephone number | Click or tap here to enter text. |
| Email address | Click or tap here to enter text. |
|  |
| **Contact point for the transmission of the invoice or for the communication of any applicable regulatory fee or charges (if applicable)[[1]](#footnote-1)** |
| Name of the entity | Click or tap here to enter text. |
| Name of the person or department to be contacted | Click or tap here to enter text. |
| Address and registered office/domicile, if different from address | Click or tap here to enter text. |
| Telephone number | Click or tap here to enter text. |
| Email address | Click or tap here to enter text. |
|  |
| Please specify which email address provided in this section (contact point at the AIFM or internally managed AIF, contact point within the appointed third party or contact point or contact point for the transmission of the invoice) is the preferred address to which the host NCA can transmit any confidential information (inter alia, login and password to access the national systems for reporting) | Click or tap here to enter text. |

**PART 2**

**Information on the de-notified AIFs**

*Please fill the below table with information for each AIF for which de-notification of arrangements made for marketing units or shares in the host Member State is envisaged, creating a new table for each AIF and by indicating only one share class (name and ISIN) on each line. In the case of umbrella AIFs, references to AIFs in the table below shall be understood as referring to the compartment to be de-notified in the host Member State and not the umbrella AIF.*

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Name of the AIF to be de-notified in thehost Member State | AIF home Member State | Legal form of the AIF [[2]](#footnote-2) | LEI of the AIF | Name of share class(es) of the AIF | ISIN of share class(es) of the AIF  | National identification code of the AIF | Effective date on which the denotification takes effect |
| Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. |
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**PART 3**

**Blanket offer for the repurchase or redemption of units held by investors in the host Member State**

Does the de-notified AIF have investors in the host Member State?

Yes [ ]  No [ ]  Information not available [ ]

*If the answer to this question is either “Yes” or “Information not available”, this Part has to be filled. If the answer to this question is No, this Part does not have to be filled out.*

*In case the de-notification concerns closed-ended AIFs of ELTIFs, this part does not have to be filled out.*

**Section 1. Identification of the entity making the blanket offer**

Please provide the following information in relation to the blanket offer made to repurchase or redeem, free of any charges or deductions, all units or shares held by investors in the host Member State.

Is the blanket offer addressed to investors by the AIFM of the de-notified AIFs?

Yes [ ]  No [ ]

*If the blanket offer is addressed to investors through a financial intermediary, please specify the following information.*

The blanket offer will be addressed to investors by:

[ ]  A credit institution

[ ]  An authorised investment firm or adviser

[ ]  Another entity, including an entity located in a third country. Please specify: Click or tap here to enter text.

**Section 2. Characteristics of the blanket offer**

|  |  |
| --- | --- |
| Please indicate the dates of the blanket offer for the repurchase or redemption of the units or shares of the AIF | Click or tap here to enter text. |
|  |
| Please provide details on the means to be used to reach individually all investors holding units or shares in the AIF (e.g. through emails, phone calls, letter, etc.) | Click or tap here to enter text. |

**PART 4**

**Intention to terminate arrangements made for marketing the units or shares of AIFs**

Please provide the following information for each AIF, compartment or share class for which the de-notification of arrangements made for marketing is envisaged.

|  |  |
| --- | --- |
| Please provide details on how the intention to terminate arrangements made for marketing units or shares of the AIFs in the host Member States will be published, including any website, press article or advertisement | Click or tap here to enter text. |

Click or tap here to enter text. (*name of the signatory*) hereby confirms that the information above is correct, that the price offered to investor for the redemption or repurchase of their units or shares is free of any charge or deduction, and that the arrangements made with financial intermediaries or delegates are amended or terminated as of the date of de-notification in order to prevent any new or further marketing in Click or tap here to enter text. (*host Member State*).

|  |  |
| --- | --- |
| Date | Click or tap here to enter text. |
| Name and capacity of the signatory | Click or tap here to enter text. |
| Signature |  |

1. Please indicate a single contact point for the transmission of the invoice or for the communication of any applicable regulatory fee or charge referred to in Article 9 of Regulation (EU) 2019/1156 and in Article 2 of the Commission Implementing Regulation (EU) 2021/955. This contact point may be the same as the contact point designated within the management company, or a contact point within an appointed third party. [↑](#footnote-ref-1)
2. The legal form is one of the following: common fund, unit trust, investment company, or any other legal form available under the national legislation of the AIF home Member State. [↑](#footnote-ref-2)