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SP/FIVA-EI RAJOITETTU
Julkinen

Description of electronic reporting of XML-format reports (ESMA)

Version 1.0.0 (18 October 2022)

REVISION HISTORY

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1 Introduction

[Reporting instructions on the Financial Supervisory Authority's website](#)

The information must be reported in XML (Extensible Markup Language) format in accordance with ESMA requirements. This description highlights the national special requirements that are not included in ESMA's technical guidelines.

The description applies to the reporting of the following data collections: AIFMD, MMFR, CSDR-7 and CSDR-9 from 31 March 2023, for reports made via the Reporter Portal.

The European Securities Market Authority (ESMA, <http://www.esma.europa.eu>) has also defined technical guidelines for electronic reporting.

2 Report identification codes (AIFMD)

In reporting, the AIFM National Code provided by the Financial Supervisory Authority (FIN-FSA) must be used as the AIFM identification code. The FIN-FSA provides reporting entities with the codes to be used. The AIFM National Code of a Finnish AIFM is the entity's National Business ID (Y-tunnus), entered without a hyphen separating the final control digit. Foreign AIFMs use the ID code provided by Statistics Finland/FIN-FSA for reporting purposes (TK-tunnus) as the AIFM National Code.

In reporting, the AIF National Code provided by the FIN-FSA must be used as the AIF identification code. The FIN-FSA provides reporting entities with the codes to be used. The AIF National Code of an AIF is the investment fund ID provided by FIN-FSA; in the format NNNNNNNN#NNN for AIFs managed by a domestic AIFM, and in the format NNNNNNNN#NNN for AIFs managed by a foreign AIFM. The first part of the ID = AIFM National Code of the AIFM. An AIF report file may contain 1-n *AIFRecordInfo* sections.

3 Changes affecting the reporting obligation (AIFMD)

Changes affecting the reporting obligation must be notified separately to the FIN-FSA. FIN-FSA recommends that reporting entities use the AIFMD reporting obligation notification form, which is available on FIN-FSA's website (https://www.finanssivalvonta.fi/globalassets/en/reporting/aifmd_reporting_obligation_notification_form_en.xls). In reporting, when changes in reporting obligations occur, ESMA guidance applies [[Tables 8-9-10 of Annex 2 of ESMA guidelines on reporting obligation \(2013/1360\)](#)]. ESMA Guidelines give examples of reporting in these situations [[Guidelines on reporting obligations under Articles 3\(3\)\(d\) and 24\(1\), \(2\) and \(4\) of the AIFMD](#)].

4 File naming

The reported files are named as follows:

- **AIFM**

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reportingentityld.typeofreportinginstitution_typeofid_taxonomyversion_AIFM_period_creationdatetime.xml

- **AIF**
reportingentityld.typeofreportinginstitution_typeofid_taxonomyversion_AIF_period_creationdatetime.xml
- **MMF**
reportingentityld.typeofreportinginstitution_typeofid_taxonomyversion_MMF_period_creationdatetime.xml
- **CSDR-7**
Monthly report
reportingentityld.typeofreportinginstitution_typeofid_taxonomyversion_CSDR7M_period_creationdatetime.xml

Annual report
reportingentityld.typeofreportinginstitution_typeofid_taxonomyversion_CSDR7A_period_creationdatetime.xml
- **CSDR-9**
reportingentityld.typeofreportinginstitution_typeofid_taxonomyversion_CSDR9_period_creationdatetime.xml

The parts of the file names signify the following:

- reportingentityid fund manager's / reporting entity's ID (LEI/TK-tunnus/Y-tunnus)
- typeofreportinginstitution fund manager's / fund's / reporting entity's reporting institution category
- typeofid e.g. "Y", "TK", "LEI", ...
- taxonomyversion
- period date of reported information (yyyymmdd)
- creationdatetime YYYYMMDDhhmmssfff (e.g. 20220113163032456)

For example:

02022481.385_Y_AIFM010100_AIFM_2023-03-31_20220720155211123.xml

When submitting AIF reports, it should be noted that the reportingentityid is always the fund manager's ID. For example:

02022481.349_Y_AIF010000_AIF_2023-03-31_20220720164367321.xml

Further information

If you have any further enquiries, please send them by [secure email](#) to the address **AIFMDReportingHelpdesk[at]finanssivalvonta.fi**.