



FIN-FSA
FINANSSIVALVONTA

Presentation of the Reporting System for supervised entities' financial position and risks

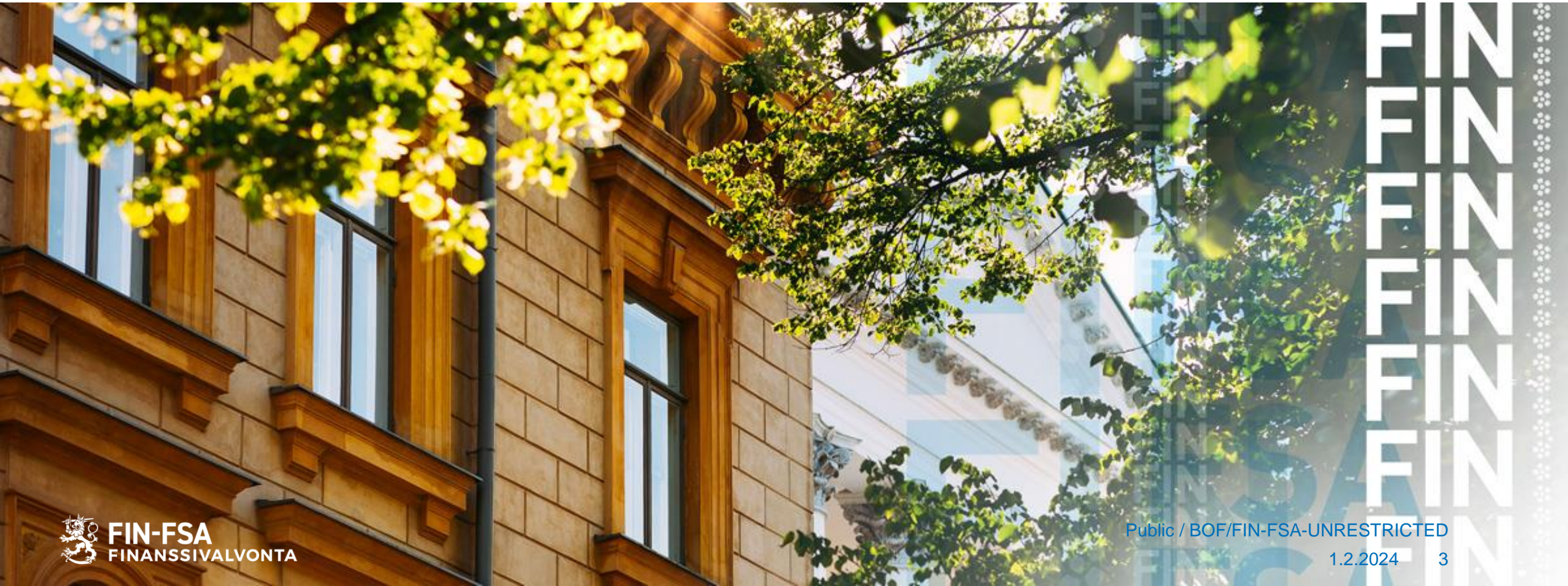
1 February 2024

Event programme

- Opening of the event
- Reporting System reform
- Presentation of Suomi.fi service
- Presentation of national RA and VJ reports
- Submissions of reports display
- New features of discussion functionality
- Questions

Reform of the Reporting System

Arttu Kiviniemi



Reform of the Reporting System

- The reform of the Reporting System concerns electronic, formal and regular reporting of financial standing and risks to the FIN-FSA
 - EBA ITS data collections (22)
 - EIOPA ITS data collections (10)
 - ESMA data collections (4)
 - National data collections (33)
- Identification and authorisation of Finnish and foreign reporters will take place via the Suomi.fi service
- A Reporter Portal will be made available for reporters' use
 - Up-to-date reporting obligation information will be viewable
 - Submission of reports
 - Reporting-related communication

Submission of reports and feedback

- Reports can be submitted in three different ways
 - By uploading a report file (XBRL/XML/CSV) to the system
 - By submitting a report file directly from the reporter's own system via an SFTP connection (Application to Application, A2A)
 - By completing web forms (recommended to be used only for a small number of forms)
 - No web forms are planned for CSDR7, CSDR9 and MMF data collections
- Reporters will see in the Reporter Portal
 - The report processing status
 - Feedback on the report
 - Feedback on a report submitted via an SFTP connection will also come directly to the reporter's own reporting system
- Reporters may submit reports to Validation service (test environment) for checking before submitting an official report to the FIN-FSA
 - These reports will not be used for supervision

Transfer of national data collections to production

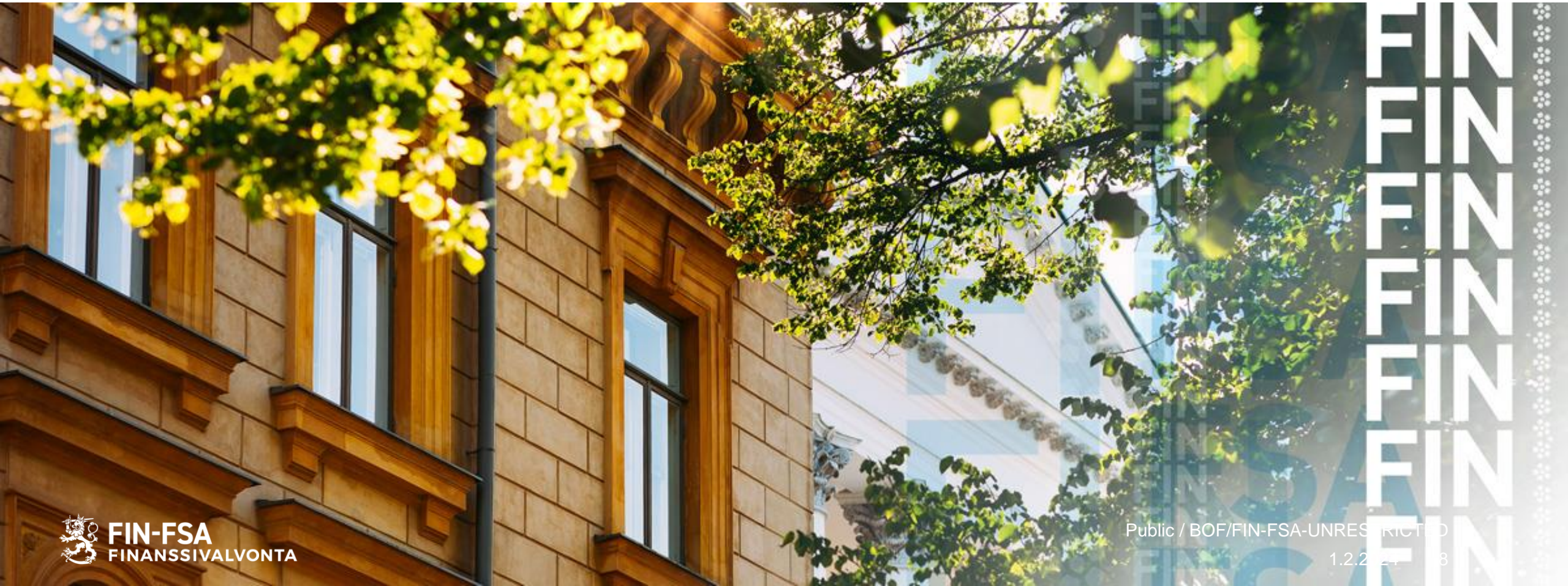
Validation service open	Reporting date	Regulatory reporting
20.12.2023	31.12.2023	National data collections RA and VJ
1.3.2024	31.3.2024	National data collections FA, FT, RVA and KT
1.6.2024	30.6.2024	National data collections MJ and MU
1.9.2024	30.9.2024	National data collections VC, VD, VF, VG and VS
1.12.2024	31.12.2024	National data collections JM, KB, KA, MA, MV, VQ, VO, VA, VB, VE, VK, VL, VM, VN, VP and VT

Information on the reform of the Reporting System

- Information on the reform of the Reporting System can be found on the FIN-FSA's [website](#)
- Contacts primarily via the FIN-FSA's Reporter Portal
- Questions and feedback: NewReportingSystem@fiva.fi

Presentation of the Suomi.fi service

Wäinö Vaitomaa



Suomi.fi e-Identification

- Logging in to the Reporter Portal requires strong identification through the Suomi.fi e-Identification service
 - Login requires: personal means of identification, mandates and reporting obligations in the portal to the entity on whose behalf the person is reporting
- Reporters log in with their personal means of identification
 - When the reporter must have a Finnish personal identity code online banking codes, certificate card or mobile certificate
- UID and Finnish Identification Service: If the person responsible for reporting does not have a Finnish personal identity code, then they must register themselves for a foreign identifier (UID)
 - Granting mandates also requires the recipient to have a Finnish personal identity number or UID

Suomi.fi e-Authorisations service

- [Suomi.fi e-Authorisations service](#) ensures that, when reports are submitted through the Reporter Portal, the reporter has the right to represent the entity that the report concerns;
 - The reporter must have a mandate from the reporting entity to make reports
- Mandates to individuals making reports may be granted by a person who has the role of representing the entity in a basic register or in the authorisation register.
 - Basic registers: Trade Register, Business Information System and Register of Associations
 - Example: the CEO of a reporting entity grants mandates to the employees responsible for reporting
- [Mandate service provided by officials](#): If the basic register information of an entity does not allow granting of mandates, the entity may apply for mandates to be entered in the authorisation register.
 - Examples: There are no representatives entered in the Trade Register for an entity; all representatives of the entity are foreign persons; foreign company (no Finnish business ID)

Practical instructions: Mandate specifier

- Mandate theme: Reporting of financial standing and risks
- Mandate specifier
 - For each mandate theme, it necessary to specify which data collection the mandate concerns. A mandate that has not been assigned a specifier cannot be used in the Reporter Portal
 - With the specifier “ALL”, the mandated individual is able to report all data collections concerning the entity
 - With a specifier for a specific data collection, mandates can also be granted for individual data collections
 - Based on data collection codes, e.g. RA and VJ
 - A list of data collection codes can be found in both the [financial sector](#) and [insurance sector](#) reporting maps

Taloudellisen tilan ja riskien raportointi ^
FINANSSIMARKKINAT

Tällä valtuudella valtuutettu voi ilmoittaa valtuuttajan taloudellista tilaa ja riskejä koskevia tietoja.

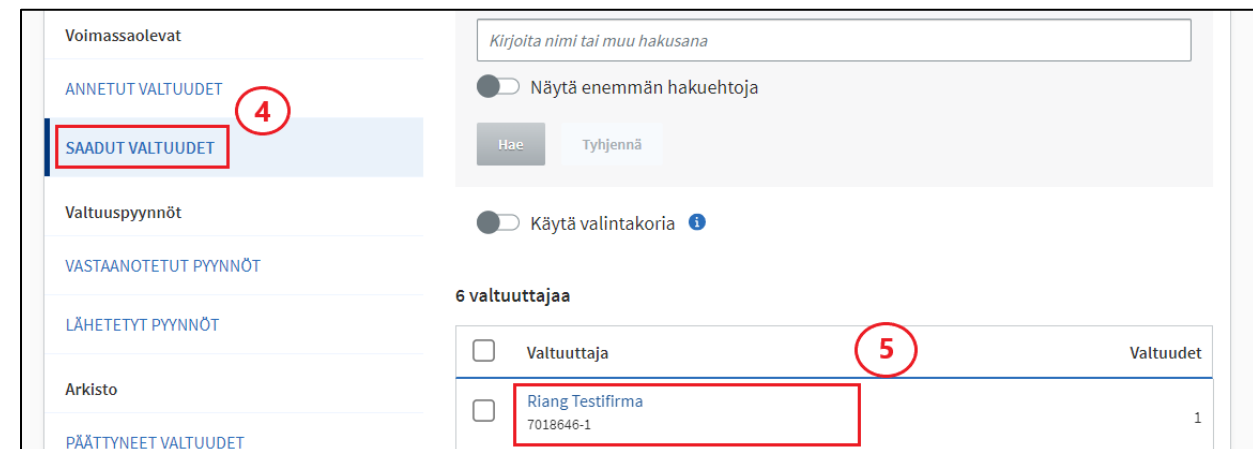
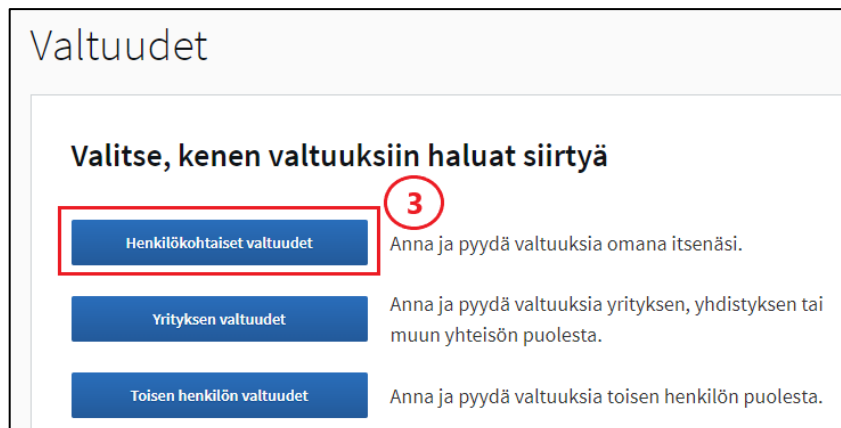
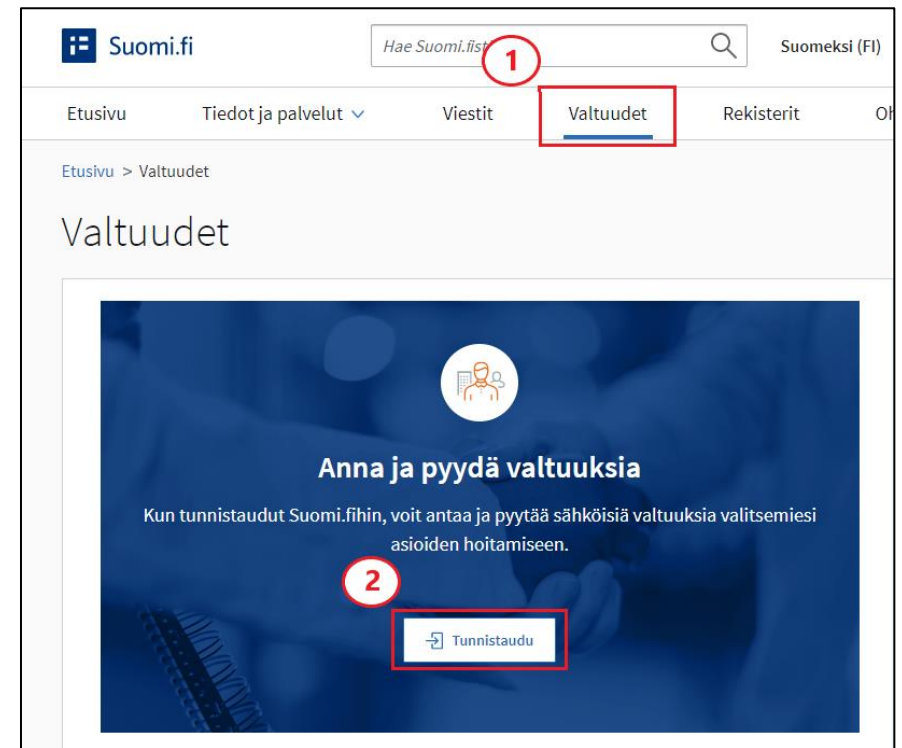
Ota käyttöön valtuuden tarkenne

Seuraavassa vaiheessa voit lisätä tarkenteen niihin valtuusasioihin, joissa tarkenne on käytössä.

Keskeytä ← Edellinen Seuraava →

Practical instructions: Mandate checking

1. Log in to the Suomi.fi e-Authorisations service at: <https://www.suomi.fi/e-authorizations>
2. Log in with a personal means of identification
3. Select 'Personal mandates'
4. On the personal mandates page, select 'Received mandates'
5. Select the entity from which you have mandates that you wish to check



Practical instructions: Mandate checking

6. Check that the content of mandates meets the requirements of the Reporter Portal

Taloudellisen tilan ja riskien raportointi
Asiointivaltuus | 23.09.2022 - 22.09.2027 | COREPALM

Valtuuttaja
Riang Testifirma, 7018646-1

Valtuustyyppi
Asiointivaltuus

Valtuuden kuvaus
Tällä valtuudella valtuutettu voi ilmoittaa valtuuttajan taloudellista tilaa ja riskejä koskevia tietoja.

Mandate theme 'Reporting of financial standing and risks'

Valtuutettu
Väinö Zettertes, 090660-999B

Voimassaoloaika
23.09.2022 - 22.09.2027

Mandate specifier ALL or data collection-specific specifier according to data collection maps

Valtuuden tarkenne
• Tiedonkeruun tunnus: COREPALM

VOIMASSA ●

Mandate type is one of the following:

- Mandate for transactions
- Mandate to represent

Regular review of the mandates granted

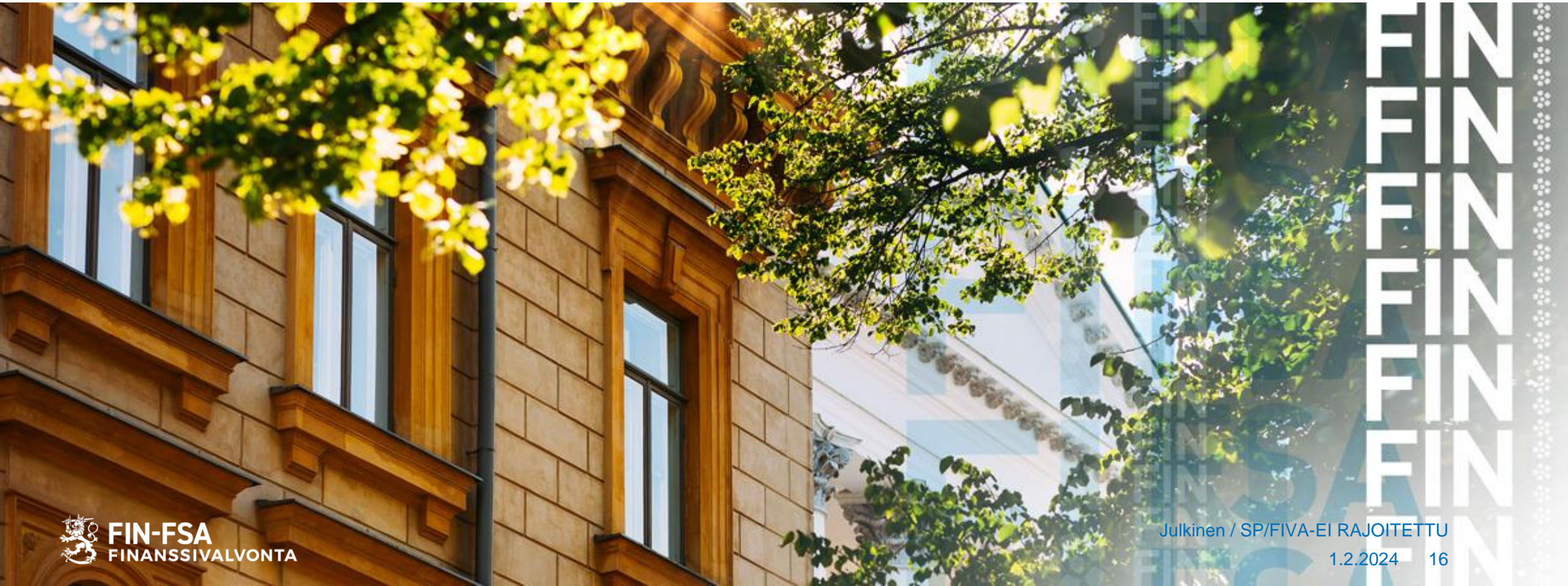
- Twice a year, the mandates granted in the Suomi.fi e-Authorisations service should be reviewed to ensure that they correspond to the tasks of the persons concerned.
 - When tasks change, access authorisations should also be reviewed.
- When an employment relationship ends, it is the employer's responsibility to ensure that access authorisations to the FIN-FSA's Reporting System are removed via authorisation management in the Suomi.fi service.

Further information about Reporter Portal's Suomi.fi services

- Instructions for reporters provided by the FIN-FSA
 - [Suomi.fi e-Authorisations service instructions for Reporter Portal users](#)
 - [Overview of Suomi.fi services for Reporter Portal users](#)
 - [Webinar of 22 October 2022, demo on authorisation](#) (20:35 onwards, in Finnish)
- Instructions provided by Suomi.fi
 - [Information on e-Authorisations service](#)
 - [Information on Authorisation with an application](#)
 - [Information on e-Identification service](#)
 - [Information on UID](#)
- Questions
 - General questions about Suomi.fi services: organisaatiopalvelut@dvv.fi
 - Questions about the Reporter Portal authorisations: NewReportingSystem@fiva.fi

Presentation of national RA and VJ reports

Aaro Hartikainen



Content of presentation of national RA and VJ reports

- General use of the Reporter Portal
- Review of reporting obligations
- Report submission, 2 alternative ways
 - Sending a CSV file
 - Completing reporting forms in the portal interface

Submissions of reports display

Eemeli Peltola



General information on the Submission of reports display

- A new display that aims to improve the usability of the Reporting System and clarify the reporting process.
- In the display, it is possible to
 - review reporting obligations
 - submit a report using reporting forms
 - discuss a report
- Available for all reports excl. AIFMD, CSDR and MMF.
- Useful particularly for users of reporting forms, but users of file uploads can also use the display to check, for example, the status of a report or versions of a report.













Submission of reports

rapu-testi-raportointi rapu-testi-raportointi rapu-testi-raportointi rapu-testi-rapo

← Submissions of reports

☀ ▶ Reporting year : 2023 Period Domain Filing type Reporting level Group of entities Entity

List of filings Show past filings ? —

 FINFSA 01/2023 COREPLCRDA - LCR Delegated Act - COREP - Consolidation group of deposit bank Finski Testifirma  Due on 15/02/2023 - 342 day(s) To do	 Details
 FINFSA 06/2023 GSII - GSII - Consolidation group of deposit bank Finski Testifirma  Due on 02/10/2023 - 113 day(s) In progress	 Details
 FINFSA 09/2023 COREPALM - Additional Liquidity Monitoring - COREP - Deposit bank including foreign branches Finski Testifirma  Due on 17/10/2023 - 98 day(s) Done  Technical requirements  Regulatory requirements  Filing rules	 Details

Submission of reports > Overview (1/2)

rapu-testi-raportointi rapu-testi-raportointi rapu-testi-raportointi rapu-testi-raportointi

← Submissions of reports > Overview

Filing dashboard

Filing To do COREPLCRDA 205 2023 01 45174 Filing version No. 1

Create new filing version

1 **Tables population**

0 out of 7 populated 0 marked as empty

Export Excel templates Populate

2 **Validation**

No checks run Run validation

3 **Submission**

Pending approval and submission. Approve and submit


List of discussions

Discussions NEW DISCUSSION


Search

Submission of reports > Overview (2/2)

Note. In the Report Portal, the display also includes item 4. Feedback reports, where you can download feedback received from the ECB, EBA and EIOPA. The item also appears for reports that are not intended to receive external feedback. In the case, the reporter can disregard the item.

4  Feedback reports

Pending feedback report...

4  Feedback reports

Accepted on 25/01/2024 11:29:52

[Export feedback reports](#)

Submission of reports > Overview > Filing and controls

← Submissions of reports > Overview > Filing and Controls

Visualize tables

Search

45174 - Finski Testfirma : V1.0

- VJ011 - VJ011 31.1.2024 23.03
 - VJ011
 - VJ012 - VJ012 31.1.2024 23.03
 - VJ013 - VJ013 31.1.2024 23.03
 - VJ031 - VJ031 31.1.2024 23.03
 - VJ034 - VJ034 31.1.2024 23.03
 - VJ041 - VJ041 31.1.2024 23.03
 - VJ051 - VJ051 31.1.2024 23.03
 - HEADER - HEADER 31.1.2024 23.03

VJ011

FINANSSIVALVONTA

Työtapa- ja ammattilaisvaltuutuksen tilastotilaisuus
Tulos kirjapöytä arvostusperiaatteiden mukaisesti
Raportoitujen tietojen tarkkuus: 1000 EUR / %-lledot kaksi desim.

Form code	VJ011
Currency	EUR

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Vakuutusmaksutuotto				10
Jälleevakuuttajien osuus				
Vakuutusmaksuvastuun muutos				
Jälleevakuuttajien osuus				
Vakuutusmaksuvastuun laskuperuste muutoksen vaikutuksen oikaisu				
Vakuutusmaksuvastuun laskuperuste muutoksen vaikutuksen oikaisu, brutto				
Jälleevakuuttajien osuus				
Vahinkokorvauskulu				
Maksut vahinkokorvaukset				
Jälleevakuuttajien osuus				
Vahinkokorvausvastuun muutos				
Jälleevakuuttajien osuus				
Vahinkokorvausvastuun laskuperuste muutoksen vaikutuksen oikaisu				
Vahinkokorvausvastuun laskuperuste muutoksen vaikutuksen oikaisu, brutto				
Jälleevakuuttajien osuus				
Korvaustoiminnan hoitamisesta aiheutuvat kulut				
Maksut korvaustoiminnan hoitokulut				
Jälleevakuuttajien osuus				
Vahinkojen selvityskulvarauksen muutos				
Jälleevakuuttajien osuus				
Vahinkojen selvityskulvarauksen laskuperuste muutoksen vaikutuksen oikaisu				
Vahinkojen selvityskulvarauksen laskuperuste muutoksen vaikutuksen oikaisu, brutto				
Jälleevakuuttajien osuus				
Liikekulut				
Vakuutusmaksuihin sisältyvien aikaisempiin tilivuosiin kohdistuvien vakuutusmaksujen oikaisu				
Vuoden alun vakuutusmaksusaamisen/velan oikaisu				
Tasotusvakuutusmaksun ja muiden aikaisempiin tilivuosiin kohdistuvien vakuutusmaksujen oikaisu				
Nettotulos ilman laskuperuste muutoksia ja oikaistuna aikaisempien vuosien vakuutusmaksuilla				

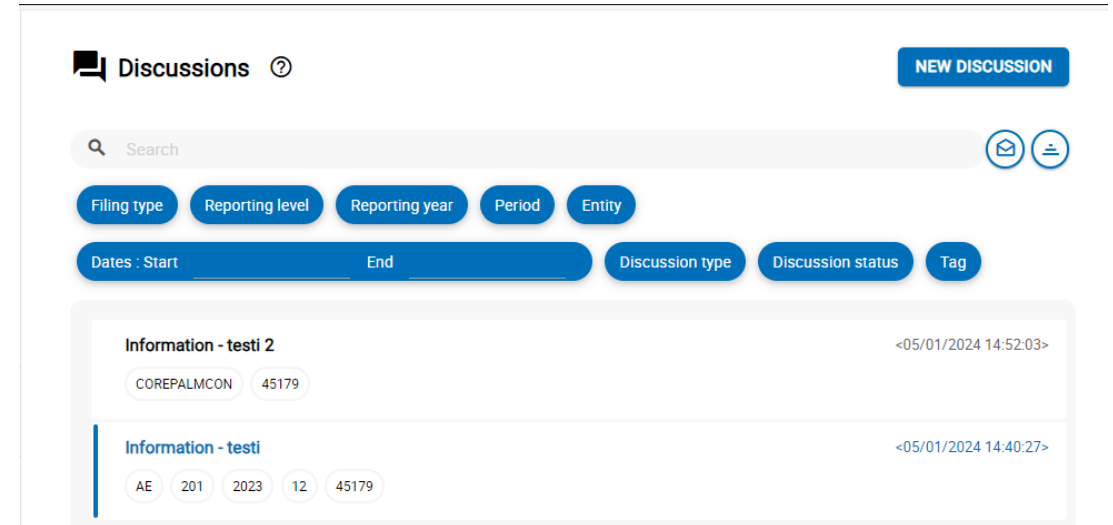
New features of discussion functionality

Eemeli Peltola



Presentation of new features of discussion functionality

- New messages are highlighted in blue.
- A message can be reset to unread by clicking the left edge of the message.
- The date and time of the discussion thread are updated when a new message is added to the discussion.
- The discussion thread rises to the top of the feed when a new message is added to the discussion.
- Discussions display unread messages by default. All messages can be displayed by clicking the letter icon.
- Drop-down lists can be displayed by clicking the filter icon. Discussions can be limited, for example, based on filing type or entity.



Questions



Information on the reform of the Reporting System

- Information on the reform of the reporting system can also be found at the FIN-FSA's [website](#)
- Contacts primarily via the FIN-FSA's Reporter Portal
- Questions and feedback: NewReportingSystem@fiva.fi
- VIRATI content questions
 - RA: Ulla-Maija.Kaivola@finanssivalvonta.fi
 - VJ: Tomi.Blomster@finanssivalvonta.fi or liron.Marttila@finanssivalvonta.fi