

**STANDARD FORM TR-1**

**SHARES AND VOTING RIGHTS ATTACHED TO SHARES – CHAPTER 2, SECTION 9 OF THE SMA  
AGREEMENT OR OTHER ARRANGEMENT – CHAPTER 2, SECTION 9 OF THE SMA**

1. Identity of the issuer of shares<sup>1</sup>:

2. Reason for the notification (please tick the appropriate box or boxes):

an acquisition or disposal of voting rights

agreement or other arrangement which may result in the acquisition or disposal of shares or voting rights

an event changing the breakdown of voting rights.

3. Full name of person(s) subject to the notification obligation<sup>2</sup>:

4. Full name of shareholder(s) (if different from the person mentioned in point 3.)<sup>3</sup>:

5. Date of the transaction and date on which the threshold is exceeded, fallen below of or reached<sup>4</sup>:

6. Threshold(s) that is/are exceeded, fallen below of or reached:

7. Notified details:

<b>A) Shares and voting rights attached to them</b>										
Class/type of shares (if possible using the ISIN CODE)	Situation previous to the Triggering transaction <sup>5</sup>		Resulting situation after the triggering transaction <sup>6</sup>							
	Number of Shares	Number of Voting rights <sup>7</sup>	Number of shares		% of shares		Number of voting rights <sup>8</sup>		% of voting rights	
			Direct	Indirect	Direct	Indirect	Direct <sup>9</sup>	Indirect <sup>10</sup>	Direct	Indirect
<b>SUBTOTAL A*</b>										

\*based on aggregate number of shares and voting rights

<b>B) Agreement or other arrangement</b>						
Resulting situation after the triggering transaction <sup>11</sup>						
Type of agreement / other arrangement	Expiration Date <sup>12</sup>	Exercise/ Conversion Period/ Date <sup>13</sup>	Number of shares that may be acquired if the agreement / other arrangement is exercised .	% of shares	Number of voting rights that may be acquired if the agreement / other arrangement is exercised .	% of voting rights
		<b>SUBTOTAL B *</b>				

\*in relation to all expiration dates

<b>TOTAL (A+B)</b>	<b>Number of shares</b>	<b>% of shares</b>	<b>Number of voting rights</b>	<b>% of voting rights</b>

8. Chain of controlled undertakings through which the voting rights are effectively held or the party subject to the notification obligation to disclose major holdings is party to an agreement or other arrangement, if applicable<sup>14</sup>:

9. In case of proxy voting: [*name of the proxy holder*] will cease to hold [*number*] voting rights as of [*date*].

10. Additional information (incl. material content of and parties to the agreement or other arrangement):

Done at [*place*] on [*date*]

**ANNEX TO THE STANDARD FORM TR-1<sup>15</sup>**

a) Identity of the person or legal entity subject to the notification obligation:

Full name (including legal form and Trade Registry number or corresponding foreign register ID for legal entities) .....

Contact address (registered office for legal entities) .....

Phone number .....

Other useful information (at least a contact person for legal persons) .....

Identity of the notifier (if another person makes the notification on behalf of the person or entity mentioned in point a):

Full name .....

Contact address .....

Phone number .....

Other useful information (e.g. functional relationship with the person or legal entity subject to the notification obligation) .....

c) Additional information

**STANDARD FORM TR-2**

**NOTIFICATION BY MARKET MAKERS – SECTION 3, SUBSECTION 3 AND SECTION 3, SUBSECTION 1 OF THE MINISTRY OF FINANCE REGULATION 154/2007**

1. Identity of Market Maker

Full name (including legal form for legal entities) .....

Contact address (registered office for legal entities) .....

Phone number, fax and e-mail address .....

Other useful information (at least a contact person for legal persons) .....

2. Identity of the notifier (if another person makes the notification on behalf of the market maker mentioned in point 1:

Full name .....

Contact address .....

Phone number, fax and e-mail address .....

Other useful information (e.g. functional relationship with the person or legal entity subject to the notification obligation) .....

3. Reason for notification

The Market maker mentioned in point (1) intends to conduct market making activities in relation to the following issuer:

The Market maker mentioned in point (1) ceases to conduct market making activities in relation to the following issuer:

Issuer

4. Where the Market Maker mentioned in point (1) intends to conduct market making activities, please indicate:

- The Competent Authority that authorised the Market Maker under Directive 2004/39/EC

.....

- When this authorisation was obtained:

.....

5. The Market Maker mentioned in point (1) declares that it does not intervene in the management of the issuer identified in (3) nor exerts any influence on that issuer to buy such shares or back the shares price.

Done at [*place*] on [*date*].

## **Notes to the Form TR-1**

This form is to be sent to the issuer or underlying issuer and to be filed with the Financial Supervision Authority.

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<sup>1</sup> Either the full name of the legal entity or another method for identifying the issuer or underlying issuer, provided it is reliable and accurate.

<sup>2</sup> This should be the full name of (a) the shareholder; (b) the natural person or legal entity acquiring, disposing of or exercising voting rights in the cases provided for in Article 10 (b) to (h) of Directive 2004/109/EC; (c) all the parties to the agreement referred to in Article 10 (a) of that Directive, or (d) the party to an agreement or arrangement, which, when completed, leads to the reaching, exceeding or falling below of a threshold.

In relation to the transactions referred to in points (b) to (h) of Article 10 of Directive 2004/109/EC, the following list is provided as indication of the natural or legal persons who should be mentioned:

- in the circumstances foreseen in letter (b) of Article 10 of that Directive, the natural person or legal entity that acquires the voting rights and is entitled to exercise them under the agreement and the natural person or legal entity who is transferring temporarily for consideration the voting rights;
- in the circumstances foreseen in letter (c) of Article 10 of that Directive, the natural person or legal entity holding the collateral, provided the person or entity controls the voting rights and declares its intention of exercising them, and natural person or legal entity lodging the collateral under these conditions;
- in the circumstances foreseen in letter (d) of Article 10 of that Directive, the natural person or legal entity who has a life interest in shares if that person or entity is entitled to exercise the voting rights attached to the shares and the natural person or legal entity who is disposing of the voting rights when the life interest is created;
- in the circumstances foreseen in letter (e) of Article 10 of that Directive, the controlling natural person or legal entity and, provided it has a notification duty at an individual level under Article 9, under letters (a) to (d) of Article 10 of that Directive or under a combination of any of those situations, the controlled undertaking;
- in the circumstances foreseen in letter (f) of Article 10 of that Directive, the deposit taker of the shares, if he can exercise the voting rights attached to the shares deposited with him at his discretion, and the depositor of the shares allowing the deposit taker to exercise the voting rights at his discretion;
- in the circumstances foreseen in letter (g) of Article 10 of that Directive, the natural person or legal entity that controls the voting rights;
- in the circumstances foreseen in letter (h) of Article 10 of that Directive, the proxy holder, if he can exercise the voting rights at his discretion, and the shareholder who has given his proxy to the proxy holder allowing the latter to exercise the voting rights at his discretion.

<sup>3</sup> Applicable in the cases provided for in Article 10 (b) to (h) of Directive 2004/109/EC. This should be the full name of the shareholder who is the counterparty to the natural person or legal entity referred to in Article 10 of that Directive unless the

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percentage of voting rights held by the shareholder is lower than the lowest applicable threshold for the disclosure of voting rights holdings in accordance with national law.

4 The date on which threshold is crossed should normally be the date on which the acquisition, disposal or possibility to exercise voting rights takes effect. For passive crossings, the date when the corporate event took effect.

5 Please refer to the situation disclosed in the previous notification. In case the situation previous to the triggering transaction was below 1/20, please state "below 1/20".

6 If the holding has fallen below 1/20, please note that it might not be necessary to disclose the proportion of the holding and voting rights, only that the new holding is below 1/20.

For the case provided for in Article 10(a) of Directive 2004/109/EC, there should be no disclosure of individual holdings per party to the agreement unless a party individually crosses or reaches an Article 9 threshold. This applies upon entering into, introducing changes to or terminating an agreement.

7 Direct and indirect voting rights.

8 In case of combined holdings of shares with voting rights attached "direct holding" and voting rights "indirect holding", please split the voting rights number and percentage into the direct and indirect columns – if there is no combined holdings, please leave the relevant box blank.

9 Voting rights attached to shares held by the notifying party.

10 Voting rights held by the notifying party independently of any holding of shares.

11 If the holding has fallen below 1/20, please note that it might not be necessary to disclose the proportion of the holding and voting rights, only that the new holding is below 1/20.

12 Date of maturity/expiration of the financial instrument (or other agreement or arrangement) i.e. the date when right to acquire shares ends.

13 If the financial instrument has such a period – please specify this period – for example once every 3 months starting from [date].

14 The notification should include the name(s) of the controlled undertakings through which the voting rights are held. The notification should also include the amount of voting rights and the percentage held by each controlled undertaking, insofar as individually the controlled undertaking holds a percentage of voting rights equivalent to or higher than the lowest applicable threshold in accordance with national law, and insofar as the notification by the parent undertaking is intended to cover the notification obligations of the controlled undertaking.

15 This annex is only to be filed with the competent authority.