



Exemptions from the disclosure obligation granted by FIN-FSA

The table below lists the companies that have been granted exemptions from the disclosure obligation by virtue of Chapter 2, section 11, of the Securities Markets Act as of 1 August 2007. The exemptions apply to fund management companies, collective investment undertakings and securities intermediaries which do not qualify for a notification of exemption as they have been authorized in a country outside the European Economic Area. However, the FIN-FSA may also grant an exemption from the disclosure obligation under such circumstances, provided that the exemption criteria under section 2, subsections 4 and 5, and section 8, of the Ministry of Finance Decree are met.

| Parent Company | Fund management company, collective investment undertaking or securities intermediary | Date of decision | Journal number |
|--------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------------------------------------------------------------------------|
| Franklin Resources, Inc. | Franklin Advisors, Inc. Franklin Templeton Portfolio Advisors, Inc. Franklin Mutual Advisers, LLC Templeton Investment Counsel, LLC Franklin Templeton Investments Corp. Fiduciary Investment Management International, Inc. Franklin Templeton Investments (Asia) Limited Templeton Global Advisors Limited Franklin Templeton Institutional, LLC | 28.3.2012 | FIVA 2/02.05.11/2012 (Replaces decision 4/207/2010 dated 16.2.2010) |
| CBRE GROUP, INC | CBRE Clarion Securities LLC | 18.1.2012 | FIVA 6/02.05.11/2011 |
| CBRE Services, Inc | CBRE Clarion Securities LLC | 18.1.2012 | FIVA 6/02.05.11/2011 |
| CB/TCC,LLC | CBRE Clarion Securities LLC | 18.1.2012 | FIVA 6/02.05.11/2011 |
| CB Holdco,Inc | CBRE Clarion Securities LLC | 18.1.2012 | FIVA 6/02.05.11/2011 |
| CBRE, Inc. | CBRE Clarion Securities LLC | 18.1.2012 | FIVA 6/02.05.11/2011 |
| CBRE Clarion REI Holding, Inc. | CBRE Clarion Securities LLC | 18.1.2012 | FIVA 6/02.05.11/2011 |
| CBRE Clarion CRA Holdings, Inc | CBRE Clarion Securities LLC | 18.1.2012 | FIVA 6/02.05.11/2011 |
| CBRE Clarion Securities Holdings,LLC | CBRE Clarion Securities LLC | 18.1.2012 | FIVA 6/02.05.11/2011 |



| Parent Company | Fund management company, collective investment undertaking or securities intermediary | Date of decision | Journal number |
|--------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------|
| Madison Dearborn Partners LLC | Nuveen Investment Advisers Inc. Nuveen Asset Management Nuveen Investment Solutions, Inc. NWQ Investment Management Company, LLC Tradewinds Global Investors LLC Santa Barbara Asset Management, LLC Symphony Asset Management, LLC Nuveen HydePark Group, LLC Rittenhouse Asset Management, Inc. | 23.12.2008 | 13/230/2008 |
| Madison Dearborn Partners V-A&C L.P. | Nuveen Investment Advisers Inc. Nuveen Asset Management Nuveen Investment Solutions, Inc. NWQ Investment Management Company, LLC Tradewinds Global Investors LLC Santa Barbara Asset Management, LLC Symphony Asset Management, LLC Nuveen HydePark Group, LLC Rittenhouse Asset Management, Inc. | 23.12.2008 | 13/230/2008 |
| Windy City Investments Holdings, LLC | Nuveen Investment Advisers Inc. Nuveen Asset Management Nuveen Investment Solutions, Inc. NWQ Investment Management Company, LLC Tradewinds Global Investors LLC Santa Barbara Asset Management, LLC Symphony Asset Management, LLC Nuveen HydePark Group, LLC Rittenhouse Asset Management, Inc. | 23.12.2008 | 13/230/2008 |



| Parent Company | Fund management company, collective investment undertaking or securities intermediary | Date of decision | Journal number |
|-----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------|
| Windy City Investments, Inc. | Nuveen Investment Advisers Inc. Nuveen Asset Management Nuveen Investment Solutions, Inc. NWQ Investment Management Company, LLC Tradewinds Global Investors LLC Santa Barbara Asset Management, LLC Symphony Asset Management, LLC Nuveen HydePark Group, LLC Rittenhouse Asset Management, Inc. | 23.12.2008 | 13/230/2008 |
| Nuveen Investments, Inc. | Nuveen Investment Advisers Inc. Nuveen Asset Management Nuveen Investment Solutions, Inc. NWQ Investment Management Company, LLC Tradewinds Global Investors LLC Santa Barbara Asset Management, LLC Symphony Asset Management, LLC Nuveen HydePark Group, LLC Rittenhouse Asset Management, Inc. | 23.12.2008 | 13/230/2008 |
| NWQ Holdings, LLC | NWQ Investment Management Company, LLC Tradewinds Global Investors LLC | 23.12.2008 | 13/230/2008 |
| Nuveen Investment Solutions, Inc. | Nuveen HydePark Group, LLC | 23.12.2008 | 13/230/2008 |



| Parent Company | Fund management company, collective investment undertaking or securities intermediary | Date of decision | Journal number |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|---------------------------|
| Allianz SE (Germany) | RCM Capital Management LLC (U.S.) Pacific Investment Management Company LLC(U.S) Allianz Global Investors Managed Accounts LLC (U.S.) Allianz Global Investors Fund Management LLC (U.S.) NFJ Investment Group L.P. (U.S) Nicholas –Applegate Capital Management LLC (U.S.) Oppenheimer Capital LLC (U.S.) | 8.12.2008 | 5/230/2008 |
| Allianz Global Investors AG (Germany), | RCM Capital Management LLC (U.S.) Pacific Investment Management Company LLC(U.S) Allianz Global Investors Managed Accounts LLC (U.S.) Allianz Global Investors Fund Management LLC (U.S.) NFJ Investment Group L.P. (U.S) Nicholas –Applegate Capital Management LLC (U.S.) Oppenheimer Capital LLC (U.S.) | 8.12.2008 | 8/230/2008, 9/230/2008 |
| RCM US Holdings LLC (U.S.) | RCM Capital Management LLC (U.S.) | 8.12.2008 | 9/230/2008 |
| Allianz Global Investors US Holdings Inc (U.S.), | Pacific Investment Management Company LLC(U.S) Allianz Global Investors Managed Accounts LLC (U.S.) Allianz Global Investors Fund Management LLC (U.S.) NFJ Investment Group L.P. (U.S) Nicholas –Applegate Capital Management LLC (U.S.) Oppenheimer Capital LLC (U.S.) | 8.12.2008 | 8/230/2008 |



| Parent Company | Fund management company, collective investment undertaking or securities intermediary | Date of decision | Journal number |
|---------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------|
| Allianz Global Investors of America LLC (U.S.), | Pacific Investment Management Company LLC(U.S) Allianz Global Investors Managed Accounts LLC (U.S.) Allianz Global Investors Fund Management LLC (U.S.) NFJ Investment Group L.P. (U.S) Nicholas –Applegate Capital Management LLC (U.S.) Oppenheimer Capital LLC (U.S.) | 8.12.2008 | 8/230/2008 |
| Allianz Global Investors U.S .Holding LLC (U.S.), | Pacific Investment Management Company LLC(U.S) Allianz Global Investors Managed Accounts LLC (U.S.) Allianz Global Investors Fund Management LLC (U.S.) NFJ Investment Group L.P. (U.S) Nicholas –Applegate Capital Management LLC (U.S.) Oppenheimer Capital LLC (U.S.) | 8.12.2008 | 8/230/2008 |
| Allianz PacLife Partners LLC (U.S.) | Pacific Investment Management Company LLC(U.S) Allianz Global Investors Managed Accounts LLC (U.S.) Allianz Global Investors Fund Management LLC (U.S.) NFJ Investment Group L.P. (U.S) Nicholas –Applegate Capital Management LLC (U.S.) Oppenheimer Capital LLC (U.S.) | 8.12.2008 | 8/230/2008 |
| Allianz Global Investors US Partners GP (U.S.) | Pacific Investment Management Company LLC(U.S) Allianz Global Investors Managed Accounts LLC (U.S.) Allianz Global Investors Fund Management LLC (U.S.) NFJ Investment Group L.P. (U.S) Nicholas –Applegate Capital Management LLC (U.S.) Oppenheimer Capital LLC (U.S.) | 8.12.2008 | 8/230/2008 |



| Parent Company | Fund management company, collective investment undertaking or securities intermediary | Date of decision | Journal number |
|---------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------|
| Allianz Global Investors of America LP (U.S.) | Pacific Investment Management Company LLC(U.S) Allianz Global Investors Managed Accounts LLC (U.S.) Allianz Global Investors Fund Management LLC (U.S.) NFJ Investment Group L.P. (U.S) Nicholas –Applegate Capital Management LLC (U.S.) Oppenheimer Capital LLC (U.S.) | 8.12.2008 | 8/230/2008 |
| Allianz Global Investors US Retail LLC (U.S.) | Allianz Global Investors Managed Accounts LLC (U.S.) Allianz Global Investors Fund Management LLC (U.S.) | 8.12.2008 | 8/230/2008 |
| Allianz Global Investors Management Partners LLC (U.S.) | NFJ Investment Group L.P. (U.S) Nicholas –Applegate Capital Management LLC (U.S.) Oppenheimer Capital LLC (U.S.) | 8.12.2008 | 8/230/2008 |
| Allianz Global Investors New York Holdings LLC (U.S.) | Oppenheimer Capital LLC (U.S.) | 8.12.2008 | 8/230/2008 |
| Nicholas-Applegate Holdings LLC (U.S.) | Nicholas –Applegate Capital Management LLC (U.S.) | 8.12.2008 | 8/230/2008 |
| The Capital Group Companies, Inc. | Capital Research and Management Company Capital Research Global Investors Capital World Investors | 12.9.2007 | 13/230/2007 |